

Citi ISO15022 Balance Qualifiers/Codes List - for MT 535 Field 93C, MT508 and MT538 Field 93A (as of SR2014)

ISO 15022 QUALIFIER/ CODE	DESCRIPTION	DEFINITION	Available for Trading (AVAI) or Unavailable for Trading (NAVL) for Actual Basis MT535	Available for Trading (AVAI) or Unavailable for Trading (NAVL) for Traded Basis MT535
BLCA	Blocked for Corporate Action	Balance of financial instrument blocked following a corporate action, including proxy activities.	NAVL	NAVL
BLOK	Blocked	Balance of financial instrument that are blocked or unavailable for sale.	NAVL	NAVL
BODE	Pending borrowed delivery	Balance of financial instruments that are pending delivery in relation to a borrow transaction. Supported for Region C	AVAI	NAVL
BORE	Pending borrowed receipt	Balance of financial instruments that are pending receipt in relation to a borrow transaction. Supported for Region C	NAVL	AVAI
BORR	Borrowed	Balance of financial instrument that have been borrowed from another party.	AVAI	AVAI
COLI	Collateral In	Balance of financial instrument for the purpose of collateralisation that belongs to a third party.	NAVL	NAVL
COLO	Collateral Out	Balance of financial instrument deposited with a third party, for the purpose of collateralisation, that belongs to the safekeeping account indicated within this message.	AVAI	NAVL
LOAN	On Loan	Balance of financial instrument that has been loaned to a third party.	NAVL	NAVL
NOMI	In Nominee Name	Balance of financial instrument that are registered in the name of a nominee.	AVAI	AVAI
PECA	Pending Corporate Action Receipt	Balance of financial instrument pending receipt following a corporate action.	NAVL	AVAI

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PEDA	Pending Corporate Action Delivery	Balance of financial instrument pending delivery following a corporate action.	AVAI	NAVL
PEND	Pending Delivery	Balance of financial instrument that are pending delivery, e.g., orders to sell financial instrument have been executed but settlement of the open transactions has not been confirmed.	N/A	NAVL
PENR	Pending Receipt	Balance of financial instrument that are pending receipt, e.g., orders to buy financial instrument have been executed but settlement of the open transactions has not been confirmed.	AVAI	N/A
PLED	Pledged	Balance of financial instrument that are pledged or used as collateral for cash, e.g., customer loan, secured credit line. (Will be supported in a future phase.)	NAVL	NAVL
REGO	Out for Registration	Balance of financial instrument currently being processed by the institution responsible for registering the new beneficial owner (or nominee) of financial instrument after a transaction has been completed.	NAVL	NAVL
RSTR	Restricted	Balance of financial instrument that are restricted.	NAVL	NAVL
SPOS	Street Position	Balance of financial instrument that remain registered in the street name, e.g., the prior beneficial owner of financial instrument.	AVAI	AVAI
AVAI for 93A	Total Available	Balance of financial instrument containing the sum of all available financial instrument (regardless the underlying status) which can be used for any purpose (in this case the balance code can only be AVAI).	AVAI	AVAI
TRAN	In Transshipment	Balance of financial instrument that is in transshipment e.g. in the process of being transferred from one depository or agent to another.	AVAI	AVAI

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Citi works with its clients in greenhouse gas intensive industries to evaluate emerging risks from climate change and, where appropriate, to mitigate those risks.

efficiency, renewable energy & mitigation

