## **Field 94B Place of Trade Citibank Usage Requirements** (as of SR2014)

| **TRAD//OTCO Codes<br>Format<br>94B::TRAD//OTCO/[code]** | | **Country** | **Usage Requirements** |
|---|---|---|---|
| | BLIND/nnnn | Spain | Mandatory for Blind Market fixed income transactions. Must be used only by clients who are executing on the Blind Market. |
| | BTEE | Spain<br>France | Mandatory for BrokerTec fixed income transactions. Must be used only by clients who are BrokerTec members. |
| | BTEE | Greece | Optional for BrokerTec fixed income transactions. Must be used only by clients who are BrokerTec members. |
| | MTS/nnnnnn | France,<br>Italy,<br>Belgium<br>and Spain | Mandatory for MTS/EMTS fixed income transactions. Must be used only by clients who are MTS/EMTS members. For Spain, clients should provide the full 6-digit MTS reference number. |
| | NONMARKET | UK CREST | To be provided by clients who are members of a Registered Investment Exchange to indicate off market trading away from the client's parent exchange. |
| | SMTS | Spain | Mandatory for Spain fixed income transactions. |
| **TRAD//EXCH Codes<br>Format<br>94B::TRAD//EXCH/[MIC]** | | | |
| | XAMS | Amsterdam Market Segment | Mandatory for equity transactions executed on EURONEXT. Must be used only by clients who are exchange members executing on EURONEXT. |
| | XBRU | Belgium Market Segment | Mandatory for equity transactions executed on EURONEXT. Must be used only by clients who are exchange members executing on EURONEXT. |
| | XHEL | Finland | For Finland, if 94B::TRAD//EXCH/XHEL/ is sent, the 8-digit Hexclear processing |

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| | | | number must be provided in subsequence E1 using 20C::PROC in the PSET subsequence. Example:<br>16R:SETPRTY<br>95P::PSET//APKEFIHH<br>20C::PROC//[8-digit Hexclear processing number]<br>16S:SETPRTY |
| | XPAR | France Market Segment | Mandatory for equity transactions executed on EURONEXT. Must be used only by clients who are exchange members executing on EURONEXT. |
| | XSLB | France | Mandatory for off-exchange transactions settling through the SLAB system with SBI details. |
| | XETR | Germany | Mandatory for equity transactions executed on XETRA. Must be used only by clients who are exchange members executing on XETRA. |
| | XMIL | Italy | Mandatory for MTA equity transactions. Must be used only by clients who are MTA members. |
| | XDMI | Italy | Mandatory for IDEM (Italian Derivatives Exchange) transactions only. Must be used only by clients who are general clearing members for IDEM. |
| | XLON | UK CREST | For equity transactions executed on the London Stock Exchange, to be provided by clients who are members of a Registered Investment Exchange. Must be provided when clients are trading away from their parent exchange. |
| | XDUB | UK CREST | For equity transactions executed on the Irish Stock Exchange, to be provided by clients who are members of a Registered Investment Exchange. Must be provided when clients are trading away from their parent exchange. |
| | XLIF | UK CREST | For options/futures transactions executed on the London International Financial Futures and Options Exchange, to be provided by clients who are members of a Registered Investment Exchange. . Must be provided when clients are trading away from their parent exchange. |
| | XTFN | UK CREST | For equity transactions executed on VIRT-X (formerly Tradepoint Financial Networks Ltd.), to be provided by clients who are members of a Registered Investment Exchange. Must be provided when clients are trading away from their parent exchange. |
| | XJWY | UK CREST | For equity transactions executed on Jiway, to be provided by clients who are members of a Registered Investment Exchange. Must be provided when clients are trading away from their parent exchange. |
| | XEAS | UK CREST | For equity transactions executed on EASDAQ, to be provided by clients who are members of a Registered Investment Exchange. Must be provided when clients |

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| | | | are trading away from their parent exchange. |
| | XSWX | Switzerland | Mandatory for equity/warrants transaction executed on the Swiss Stock Exchange. Must be used only by clients who are exchange members executing on this exchange. |
| | XVTX | Switzerland | Mandatory for equity transactions executed on the VIRT-X exchange. Must be used only by clients who are exchange members executing on this exchange. |
| | XBOM/Delivery Type/MarketType | India | Mandatory for equity transactions executed on the Bombay Stock Exchange. Must be used only by clients who are exchange members executing on this exchange. Valid Delivery Types are CH - Clearing House and HD - Hand Delivery. Valid Market Types are DA - Electronic 3D segment on the NSE – Market lot and DI – Inter Institutional segment within T+3 settlement. |
| | XDES/Delivery Type/MarketType | India | Mandatory for equity transactions executed on the Delhi Stock Exchange. Must be used only by clients who are exchange members executing on this exchange. Valid Delivery Types are CH - Clearing House and HD - Hand Delivery. Valid Market Types are DR - Electronic T+5 segment on the BSE/NSE – Odd lot and DI – Inter Institutional segment within T+3 settlement. |
| | XSSE | Sweden | Mandatory only for trades executed on the Stockholm Stock Exchange's automatic trading system, SAXESS. Must be used only by remote trading or clearing members of the Stockholm Stock Exchange with access to SAXESS. |
| | MTSC/nnnnnn | France, Italy, Belgium and Spain | Mandatory for MTS/EMTS fixed income transactions. Must be used only by clients who are MTS/EMTS members. For Spain, clients should provide the full 6-digit MTS reference number. Replaces TRAD//OTCO/MTS/nnnnnn with valid MIC. |
| | EMTS | Greece Spain | Optional for EMTS fixed income transactions. To be used only by clients who are EMTS members. Replaces TRAD//OTCO/EMTS/ with valid MIC. |
| | HDAT | Greece | Mandatory for fixed income (Greek government bond) transactions executed on HDAT (Electronic Secondary Securities Market). Must be used only by clients who are HDAT members. |
| | SMTS | Spain | Mandatory for Spain fixed income transactions. |
| **TRAD//PRIM Codes 94B::TRAD//PRIM/[code]** | | | |
| | AUCTION | Italy and Spain | Mandatory for primary market fixed income securities auctions only. Must be used only by clients participating in primary market auctions. |

Citi believes that sustainability is good business practice. We work closely with our clients, peer financial institutions, NGOs and other partners to finance solutions to climate change, develop industry standards, reduce our own environmental footprint, and engage with stakeholders to advance shared learning and solutions. Highlights of Citi's unique role in promoting sustainability include: (a) releasing in 2007 a Climate Change Position Statement, the first US financial institution to do so; (b) targeting $50 billion over 10 years to address global climate change: includes significant increases in investment and financing of renewable energy, clean technology, and other carbon-emission reduction activities; (c) committing to an absolute reduction in GHG emissions of all Citi owned and leased properties around the world by 10% by 2011; (d) purchasing more than 234,000 MWh of carbon neutral power for our operations over the last three years; (e) establishing in 2008 the Carbon Principles; a framework for banks and their U.S. power clients to evaluate and address carbon risks in the financing of electric power projects; (f) producing equity research related to climate issues that helps to inform investors on risks and opportunities associated with the issue; and (g) engaging with a broad range of stakeholders on the issue of climate change to help advance understanding and solutions.

Citi works with its clients in greenhouse gas intensive industries to evaluate emerging risks from climate change and, where appropriate, to mitigate those risks.

efficiency, renewable energy & mitigation