

CFTC 1.55 Section4 disclosure Summary

November 28, 2025

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	5.47 %	0.62 %
FICC	41.78 %	3.66 %
Financing (Resales, Borrows)	39.54 %	0.75 %
Fixed and All Other Assets	6.77 %	10.37 %
Goodwill and Tangible Assets	0.03 %	0.50 %
Investments in Subsidiaries and Receivable from Affiliates	0.04 %	0.82 %
Other Inventory	0.39 %	0.00 %
Receivable from Broker-Dealers and Customers	5.97 %	1.82 %
Total	100.00 %	18.54 %