

CFTC 1.55 Section4 disclosure Summary

August 31, 2026

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	5.50 %	0.16 %
FICC	34.10 %	2.76 %
Financing (Resales, Borrows)	49.97 %	1.32 %
Fixed and All Other Assets	5.47 %	10.28 %
Goodwill and Tangible Assets	0.02 %	0.49 %
Investments in Subsidiaries and Receivable from Affiliates	0.04 %	0.83 %
Other Inventory	0.40 %	1.20 %
Receivable from Broker-Dealers and Customers	4.51 %	3.85 %
Total	100.00 %	20.89 %