

## CFTC 1.55 Section4 disclosure Summary

January 30, 2026

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	5.53 %	0.20 %
FICC	38.40 %	2.94 %
Financing (Resales, Borrows)	42.28 %	1.26 %
Fixed and All Other Assets	7.04 %	9.96 %
Goodwill and Tangible Assets	0.02 %	0.50 %
Investments in Subsidiaries and Receivable from Affiliates	0.09 %	1.50 %
Other Inventory	0.41 %	0.00 %
Receivable from Broker-Dealers and Customers	6.23 %	2.21 %
<b>Total</b>	<b>100.00 %</b>	<b>18.57 %</b>