

# Citi OpenInvestor<sup>SM</sup>

Expertise is the Advantage



# Citi OpenInvestor<sup>SM</sup>

## Enabling you to meet the challenges of a changing landscape.

With new regulatory requirements increasing demand for transparency and greater pressure to diversify into new asset classes and geographies, institutional, alternative and wealth managers are testing the limits of in-house capabilities. You need to think differently about the investment services that support your core investment and client service activities.

### The value of an expert partner

To keep you focused on growing your business, managing investments and serving your clients, you need access to the expert resources of a dedicated investment services provider, one that understands your business and can respond to your unique needs with tailored solutions.

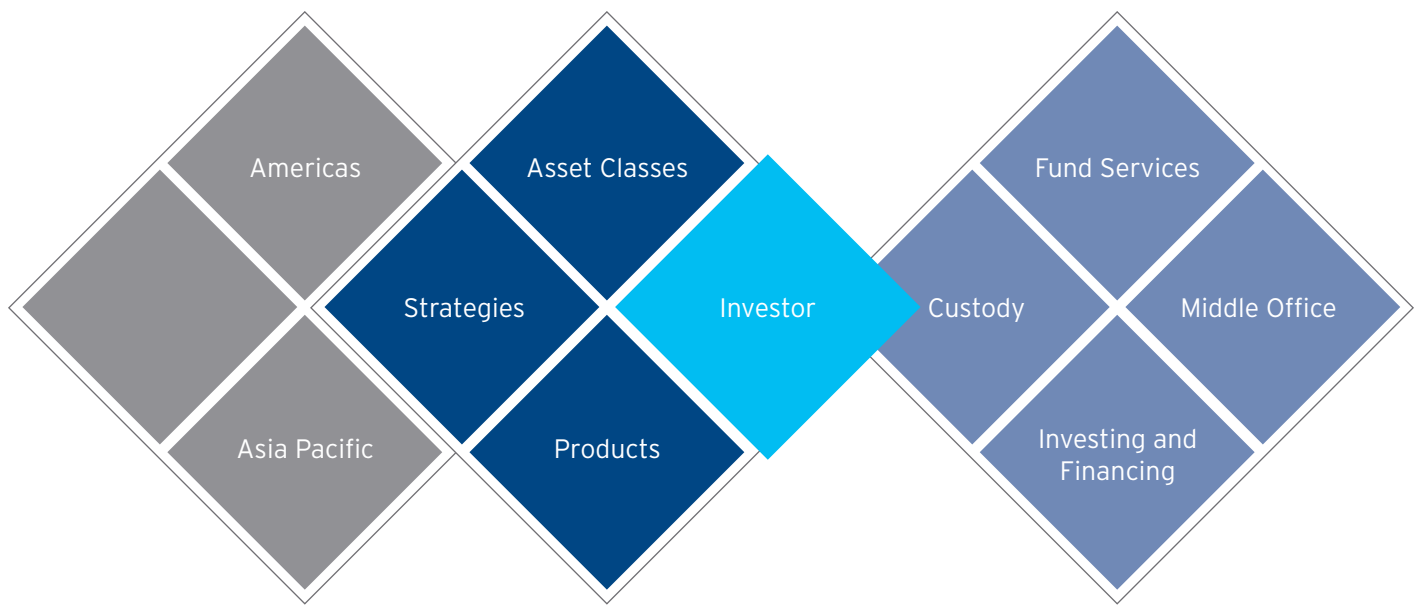
### The power of a truly global network behind you

With Citi, you'll not only have deep industry knowledge supporting you, but the unmatched breadth and depth of our global branch network. We provide critical local expertise to deepen your insight, extend your reach and help you expand your business into new markets efficiently and with confidence.

### Investment services designed from an investor's perspective

Our investment services business has an asset management orientation, which helps us effectively match our capabilities with your strategic needs. We can create flexible and modular solutions that:

- Meet your critical day-to-day needs
- Support your long-term growth
- Seamlessly extend and enhance your in-house operations
- Create a more efficient, informed and effective investment operation



### Citi OpenInvestor: A comprehensive investment services solution

Citi OpenInvestor is designed for today's diversified global investor, providing flexible solutions that help institutional, alternative and wealth managers meet their performance objectives across asset classes, strategies and geographies.

# Middle Office Solutions

Helping clients to focus on core asset management and client service functions

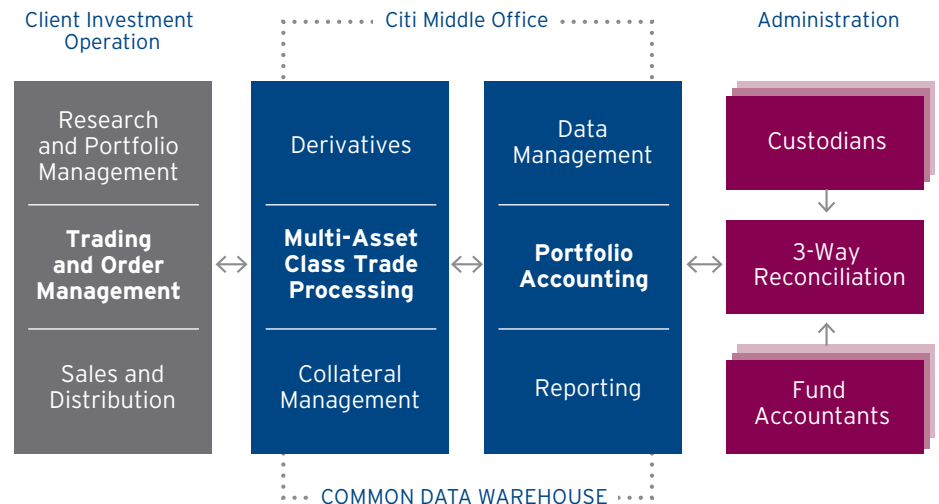
Citi OpenInvestor middle office solutions offer complete daily operations, technology and data management services to support your investment, trading and client service activities.

Our straight-through solution consolidates and streamlines all of your post-trade and collateral management operations and data on a single, global platform. From trade capture to reconciliation, we integrate

seamlessly with your trading platform, fund accountants and custodians to optimize your operational workflow.

Our integrated data management and reporting platform delivers information to clients and stakeholders how and when they need it, through Web-based, direct access to day-to-day operational data and workflow and comprehensive, customizable reports.

Our single global platform consolidates operations, optimizes workflow and provides the information you want and need.





# Fund Services

Comprehensive fund administration, accounting and investor recordkeeping

Citi OpenInvestor provides a full range of fund services across products, structures and jurisdictions, leveraging our local market expertise and global network to provide you with consistent, scalable solutions and a full suite of best-in-class reporting solutions.

Delivered on a multi-strategy, multi-asset class, multi-vehicle platform, our full-service solution supports your growth and diversification into new products and markets. We help you expand your asset-gathering activities with integrated distribution support services, offering access to our global distribution platform, local regulatory support, channel management and strategic market intelligence.

Citi's Fund Accounting Dashboard offers Web-based monitoring with exception reporting and automated escalation. It provides step-by-step management and monitoring of the NAV creation process.

Value Movement Attribution											
View: Default											
Category Code	Category Name	Value Previous	Value Current	Value Difference	Fx bp	Total bp	Co...	Diff	Tol	Totals Stat...	Row Status
IND	Indices										Exception
UPR	Unit Price	0.99026	0.88819	-0.10207	0.00	-1,030.77	469.27	1,500.04	0.05	DC Error	OK
UPM	Unit Price Movement ...	1,980,526.48	1,630,417.24	-350,109.24	-1.51	469.27					Exception
NAV	Net Asset Value	1,980,526.48	1,630,417.24	-350,109.24	-1.51	-1,767.76			300.00	Exception	Exception
GAV	Gross Asset Value	1,980,526.48	2,028,567.24	48,040.76	-1.51	242.57			300.00	Exception	Exception
MVM	Market Value Movement	54,199.62	101,496.55	47,296.93	-5.27	238.81	5.99	232.82	50.00	Exception	Exception
AST	Investment Assets	54,199.62	101,496.55	47,296.93	-5.27	238.81					Exception
EQ	Equities	54,199.62	101,496.55	47,296.93	-5.27	238.81					Exception
OAL	Other Assets and Liab...	1,926,326.86	1,927,070.69	743.83	3.76	3.76				OK	Warning
CSH	Cash	1,926,876.86	1,927,620.69	743.83	3.76	3.76					Warning
C..	Cash Balance (Less C...	1,926,702.67	2,325,720.69	399,018.02	3.76	2,014.71					Warning
C..	Cash at Bank	1,926,702.67	1,927,620.69	918.02	3.76	4.64					Warning
	Less Capital Flows		398,100.00	398,100.00	0.00	2,010.07					OK
C..	Receivables	174.19	0.00	-174.19	0.00	-0.88					OK
C..	Payables	0.00	-398,100.00	-398,100.00	0.00	-2,010.07					Warning
TXN	Transaction PL	0.00	0.00	0.00	0.00	0.00				OK	OK
EXP	Accrued Expense	-550.00	-550.00	0.00	0.00	0.00					OK
A..	Audit Fees To Date	-550.00	-550.00	0.00	0.00	0.00				OK	OK
TAC	Today Accruals	0.00	-50.00	-50.00	0.00	-0.25					OK
AUDFEE	Audit Fees		-50.00	-50.00	0.00	-0.25					OK
CAP	Capital Flows	0.00	-398,100.00	-398,100.00	0.00	-2,010.07			10.00	Exception	Exception
UNITS	Units	2,000,000.00000	1,597,985.68000	-402,014.32000	0.00	2,237.03					Exception
UOI	Units on Issue	2,000,000.00000	1,597,985.68000	-402,014.32000	0.00	2,029.84			10.00	Exception	Exception
DIL	Dilution			41,034.81	0.00	207.19					OK
CHK	Capital vs Units Check	0.00	-0.09	-0.09	0.00	0.00				OK	OK

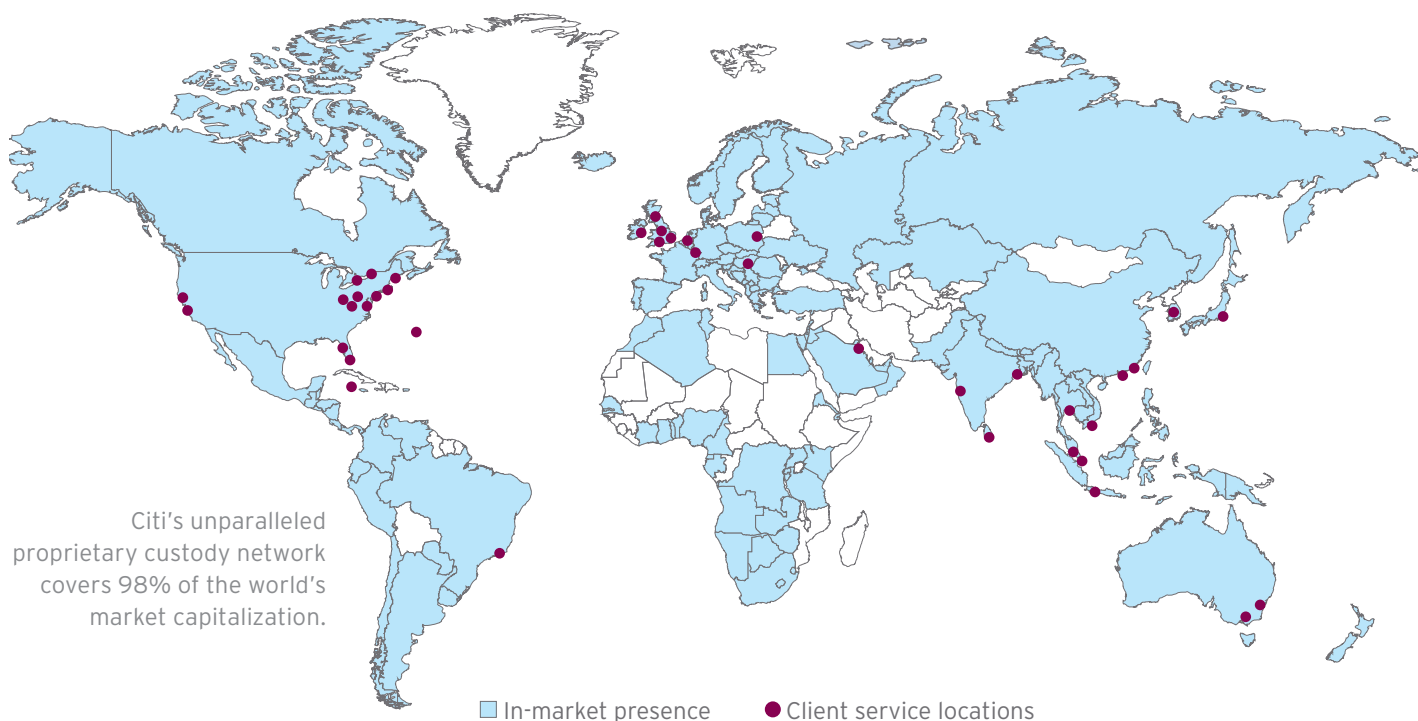
# Custody

The world's most extensive proprietary settlement and cash-processing network

Covering 94 markets from our 60-branch proprietary network, Citi offers the experience, scale and technology necessary to help meet your most complex custody needs.

Our custody services include a full range of domestic and global solutions delivered on a unified global platform to ensure efficient processing, consistent delivery and timely customer service across markets. With our combination of market network, infrastructure

strength and range of products, we can customize solutions that support diverse investment objectives across multiple markets. This will help you more effectively administer and analyze your investments and manage risk.



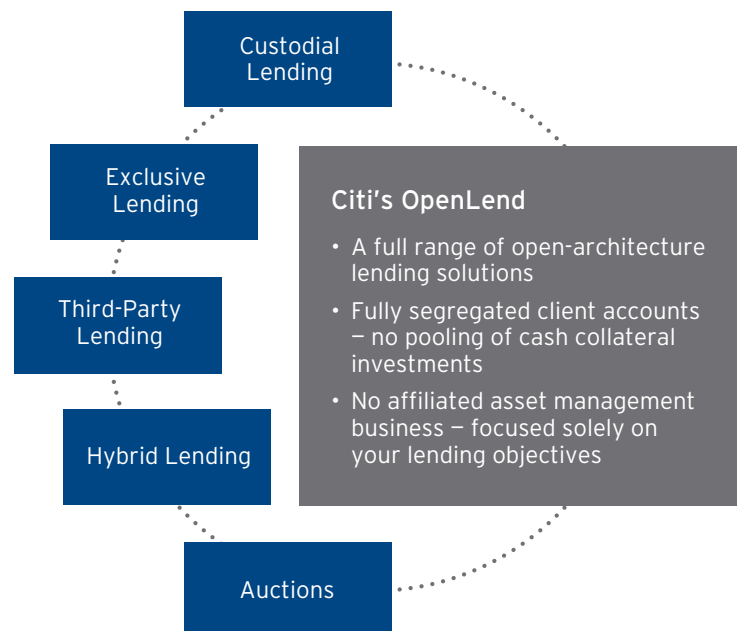
# Investing and Financing

Tailored to help investors optimize performance and manage risk

Citi OpenInvestor provides investing and financing offerings that help our clients enhance portfolio performance, while also mitigating overall risk.

- OpenLend<sup>SM</sup>, our open-architecture securities lending solution, leverages Citi's global presence, robust risk management controls and innovative trading strategies as it helps investors take advantage of the value of the securities they hold.
- Our complete range of liquidity management products has the flexibility to support investors' unique approaches to their assets.
- Citi's FX capabilities simplify and streamline transactional FX and hedging across the investment lifecycle.

Our innovative OpenLend program can help you take better advantage of the inherent value of your securities.



# The Citi advantage

Citi is a trusted resource for many of the world's leading institutional, alternative and wealth managers, offering award-winning solutions backed by a commitment to exceptional customer service.

Citi OpenInvestor offers our clients the full breadth of our investment services capabilities with access to the extensive resources of Citi's global banking organization – a powerful combination that can help optimize your day-to-day operations and support your firm's long-term growth.

**For more information visit  
[openinvestor.transactionservices.citi.com](http://openinvestor.transactionservices.citi.com)**