

# Application form

To allow us to open your Account as quickly as possible, please take a moment to review the account opening checklist overleaf. Incomplete applications and missing documentation will cause delays in opening Accounts.

**Should you have any questions as you complete this application form, or if you wish to check on the status of an existing application, please call us on one of the numbers specified below:**

**Calls from in the UK: 0808 109 8888**

**Calls from outside the UK: +44 207 500 1445**

# Account opening checklist

Already an existing Citi International Personal Bank Client? Yes  No

If Yes, please add your client number here

**Completed all sections of the application form**

Unless otherwise defined in this application form, capitalised terms are defined in the Citi International Personal Bank General Terms and Conditions.

## Tax declaration

**Completed a tax declaration form and enclosed supporting documentation**

Each Account Holder must submit one of the US tax forms listed below.

1. If you are a **non-US person** please complete a W-8BEN tax form.
2. If you are a **US person** please complete a W-9 tax form.

A non-US person is generally defined as someone who is not a US citizen, not a green card holder and not someone who has a substantial presence in the United States (physical presence in the US for at least (1) 183 days in the current year or (2a) 31 days during the current year and (2b) 183 days during the last 3 years).

As a client of Citi International Personal Bank, you may also be responsible for tax reporting in the country of your domicile and citizenship. Please consult with your tax adviser to clearly determine your tax liabilities.

### For London branch clients (Citibank N.A. or Citibank International Plc)

If you are a **non-UK resident** opening an Account in our London branch (via Citibank N.A. or Citibank International Plc) please complete a R105 non-resident tax form.

If you are an **EU resident**, we also require a certified copy of your Tax Identification Number or date and place of birth (e.g. passport).

### For Jersey branch clients

Each Account Holder to whom the EU Savings Directive (EUSD) applies must either authorise Citi to report information on interest payments to the appropriate body in Jersey, or provide appropriate evidence that the EUSD does not apply. Refer to section 6 of the application form for more detail.

## Important information about your Account

**Read and retained the important information contained in the following documents**

1. Important information about our services
2. Fee Schedule
3. Transferring Funds leaflet
4. Any other document or Account Application provided to you at Account opening

## Identification and address references

**Each Account Holder has provided the following documents:**

1. **Proof of identification:** an original or certified copy of a valid government issued photo identification such as a passport.
2. **Account Holder(s) reference:** provide only one of the documents below for each Account Holder.

**Please note:** one reference for joint Account Holders will suffice provided it indicates all parties (this applies for spouse or parent/child Accounts).

- **Bank reference:** covering a banking relationship of 12 months or more. Please refer to the enclosed bank reference template for the required information (page 11); or
- **Bank statement:** showing activity in a deposit/current account for more than 12 months. It should reflect the amount to be invested with Citi and show the Account Holder(s) residential address; or

- **Employer reference:** confirming the Account Holder(s) residential address, good standing with the company and that the length of your employment is greater than one year. This reference must be signed by a company officer and printed on the company letterhead; or
  - **Credit agency report:** including full name, date of birth, details of business, existing judgments/liens, financial transactions and residential address. If you choose this option, Citi will only request this with your authority.
3. **Proof of residential address:** one additional document is required to confirm your residential address e.g. a utility bill dated within three months of your application or Government issued identification with residential address details (this must be different to the document provided to prove your identity above).

Please contact us if you are unable to provide the above documentation in sections 2 and 3, and we can discuss the other options available.

## Send your documents to Citi

**Please send all completed forms and supporting documentation to:**

For London branch clients (Citibank N.A. or Citibank International Plc)  
Citi International Personal Bank  
Level 19, Citigroup Centre  
25 Canada Square  
London E14 5LB  
United Kingdom

For Jersey branch clients  
Citibank N.A., Jersey Branch  
PO BOX 104  
38 Esplanade  
St Helier  
Jersey JE4 8QB  
Channel Islands

Account type Individual  Joint  Branch preference Jersey  London

Note: There are two legal entities available in London Branch (Citibank N.A., and Citibank International Plc). You will be booked into the appropriate entity according to your residency and other individual circumstances

# 1. Primary Account Holder Please complete in block capitals and ensure your name is the same as it appears on your passport

Miss  Mr  Mrs  Ms  Other

First name

Last name

Marital status

Maiden name

Identification Type

Identification No.

Issue date

Expiry date

Nationality

Residential address

Country of residence

City/District/Town

State (please complete if US person)

Postcode

At current address since (MM/YYYY)

Please tick this box if this is the correspondence address.

Otherwise please complete section 3

**If your address has changed within the last three years, you must complete this section. You must provide all your addresses within the last three years, attaching a separate sheet if necessary. We may not be able to open your Account(s) without this information.**

Previous residential address

City

Country

Postcode

Time at previous address (MM/YYYY)

**Please include your international dialling code when entering your contact numbers below.**

Home phone  Country Code  Area Code

Work phone  Country Code  Area Code

Mobile  Country Code

Email

Occupation

Employer

Tax Identification Number<sup>1</sup> (for EU residents only)

The country in which you are liable to pay tax (your tax domicile)

Country of birth (please ensure that this is the same as it appears on your passport)

Do you, or are you related to persons who hold a Senior Public Office<sup>2</sup>?

Yes  No

If yes, please provide details

**This information will be used when transacting on your Account in the future.**

Mother's maiden last name

Your date of birth

Your city of birth (please ensure this is the same as it appears on your passport)

<sup>1</sup>Tax Identification Number: The unique number assigned to you by your local Tax Authority or other Government agency. It is the unique reference you use to file an annual tax return.

<sup>2</sup>Senior Public Office requirement definition: If you or your relative currently occupies/have occupied or are actively seeking/being considered for a senior position in the government, any department, agency, subsidiary government organisation, or in the political process (e.g. in a political party) you will need to answer 'Yes' and provide details.

## 2. Additional Account Holder(s) Please complete in block capitals and ensure your name is the same as it appears on your passport

### Additional Account Holder 1

What is the Primary Account Holder(s) relationship to you?

Miss Mr Mrs Ms Other

    

Nationality

First name

Residential address

Last name

Country of residence

Marital status

City

Maiden name

Postcode

Identification Type

At current address since (MM/YYYY)

Identification No.

Issue date

Expiry date

**If your address has changed within the last three years, you must complete this section. You must provide all your addresses within the last three years, attaching a separate sheet if necessary. We may not be able to open your Account(s) without this information.**

Previous residential address

Country

Postcode

City

Time at previous address (MM/YYYY)

**Please include your international dialling code when entering your contact numbers below.**

Home phone

Country Code

Area Code

Work phone

Country Code

Area Code

Mobile

Country Code

Email

Occupation

Country of birth (please ensure that this is the same as it appears on your passport)

Employer

Do you, or are you related to persons who hold a Senior Public Office<sup>2</sup>?

Yes  No

Tax Identification Number<sup>1</sup> (for EU residents only)

If yes, please provide details

The country in which you are liable to pay tax (your tax domicile)

**This information will be used when transacting on your Account in the future.**

Mother's maiden last name

Your date of birth

Your city of birth (please ensure this is the same as it appears on your passport)

<sup>1</sup>Tax Identification Number: The unique number assigned to you by your local Tax Authority or other Government agency. It is the unique reference you use to file an annual tax return.

<sup>2</sup>Senior Public Office requirement definition: If you or your relative currently occupies/have occupied or are actively seeking/being considered for a senior position in the government, any department, agency, subsidiary government organisation, or in the political process (e.g. in a political party) you will need to answer 'Yes' and provide details.

Please complete in block capitals and ensure your name is the same as it appears on your passport

**Additional Account Holder 2**

What is the Primary Account Holder(s) relationship to you?

Miss Mr Mrs Ms Other

    

Nationality

First name

Residential address

Last name

Country of residence

Marital status

City

Maiden name

Postcode

Identification Type

At current address since (MM/YYYY)

Identification No.

Issue date

Expiry date

**If your address has changed within the last three years, you must complete this section. You must provide all your addresses within the last three years, attaching a separate sheet if necessary. We may not be able to open your Account(s) without this information.**

Previous residential address

Country

Postcode

City

Time at previous address (MM/YYYY)

**Please include your international dialling code when entering your contact numbers below.**

Home phone

Country Code

Area Code

Work phone

Country Code

Area Code

Mobile

Country Code

Email

Occupation

Country of birth (please ensure that this is the same as it appears on your passport)

Employer

Do you, or are you related to persons who hold a Senior Public Office<sup>2</sup>?

 Yes  No

Tax Identification Number<sup>1</sup> (for EU residents only)

If yes, please provide details

The country in which you are liable to pay tax (your tax domicile)

**This information will be used when transacting on your Account in the future.**

Mother's maiden last name

Your date of birth

Your city of birth (please ensure this is the same as it appears on your passport)

<sup>1</sup>Tax Identification Number: The unique number assigned to you by your local Tax Authority or other Government agency. It is the unique reference you use to file an annual tax return.

<sup>2</sup>Senior Public Office requirement definition: If you or your relative currently occupies/have occupied or are actively seeking/being considered for a senior position in the government, any department, agency, subsidiary government organisation, or in the political process (e.g. in a political party) you will need to answer 'Yes' and provide details.

Please complete in block capitals and ensure your name is the same as it appears on your passport

### Additional Account Holder 3

What is the Primary Account Holder(s) relationship to you?

Miss Mr Mrs Ms Other

First name

Last name

Marital status

Maiden name

Identification Type

Identification No.

Issue date

Expiry date

Nationality

Residential address

Country of residence

City

Postcode

At current address since (MM/YYYY)

**If your address has changed within the last three years, you must complete this section. You must provide all your addresses within the last three years, attaching a separate sheet if necessary. We may not be able to open your Account(s) without this information.**

Previous residential address

City

Country

Postcode

Time at previous address (MM/YYYY)

**Please include your international dialling code when entering your contact numbers below.**

Home phone

Country Code

Area Code

Work phone

Country Code

Area Code

Mobile

Country Code

Email

Occupation

Employer

Tax Identification Number<sup>1</sup> (for EU residents only)

The country in which you are liable to pay tax (your tax domicile)

Country of birth (please ensure that this is the same as it appears on your passport)

Do you, or are you related to persons who hold a Senior Public Office<sup>2</sup>?

Yes  No

If yes, please provide details

**This information will be used when transacting on your Account in the future.**

Mother's maiden last name

Your date of birth

Your city of birth (please ensure this is the same as it appears on your passport)

<sup>1</sup>Tax Identification Number: The unique number assigned to you by your local Tax Authority or other Government agency. It is the unique reference you use to file an annual tax return.

<sup>2</sup>Senior Public Office requirement definition: If you or your relative currently occupies/have occupied or are actively seeking/being considered for a senior position in the government, any department, agency, subsidiary government organisation, or in the political process (e.g. in a political party) you will need to answer 'Yes' and provide details.

### 3. Communication instructions

#### Correspondence Address

Which address is this? (i.e. home or work address)

My/Our preferred mailing address (if different from Primary Account Holder's residential address)

City

Postcode

Country

I prefer to receive communications in:

English (default if not indicated)  Hebrew  Russian

Spanish (Mexico)  Turkish  Greek  Arabic

My spoken language is:

English (default if not indicated)  Hebrew  Russian

Spanish (Mexico)  Turkish  Greek  Arabic

**Please note:** All regulatory and legally binding documents will be provided in English.

#### Statements

My/Our preference for receiving statements is on the following basis

Monthly  Quarterly  (choose one)

E-statements\*  Paper and online  (choose one)

Secure PDF attachment into your email inbox

Email reminder when your latest statement is available in Citibank Online

You can view details of all transaction and payments via our online banking facility, Citibank Online at any time. Alternatively you can wait for your monthly or quarterly statements or obtain these details free of charge from your Relationship Manager.

\*Please note: By choosing this option you will not receive paper statements. To access your online statements you will need to register for our online banking facility, Citibank Online. To start the registration process tick the Citi VISA Debit card box in section 5 below.

#### Free Citibank Alerting Service:

Get the account information you choose delivered direct to your mobile phone or email inbox, including an automatic weekly balance update for all your Citi accounts.

#### How would you like to receive your alerts?

Email  SMS text messages  Both

Once your account has been opened you will automatically be set up for a standard package on Citibank Alerting Service. To change the package, customise the timing and frequency of your alerts or to stop them at any time, sign on to Citibank Online.

### 4. Additional information about myself/joint Account Holders

#### Please indicate how much you plan to invest with Citi International Personal Bank within 12 months (US\$)

\$100,000 - \$500,000  \$1,000,001 - \$5,000,000

\$500,001 - \$1,000,000  over \$5,000,001

#### Which Investment Accounts would you like to open?

Mutual Fund / Structured Note  Brokerage

Other

### 5. Account operation

#### Currency nomination

Select the currency(ies) of the Cash Account(s) you would like to open

USD  EUR  GBP  (default if not indicated)

AED  AUD  CAD  CHF  CZK

DKK  HKD  HUF  ILS  JPY

NOK  NZD  PLN  RON  RUB

SEK  TRY  ZAR

Nominate one reference currency for your portfolio evaluation

Please indicate the Charge Account:

#### For Jersey branch clients only

Capital and income Accounts required. Yes  No

#### Primary Investor (Joint Accounts only)

Which Account Holder will act as the Primary Investor for this relationship?

#### Citi VISA Debit card

I/We wish to receive a Citi VISA Debit card. I/We have read and agree to the corresponding section in the General Terms and Conditions.

**Please note:** you require a Citi Visa Debit card to access online banking.

For joint Accounts, a Citi VISA Debit card is only available if "Any one of the Account Holders" signatory option is selected in section 8 of this form. Please enter the names as you want them to appear on the card(s) using the boxes below. (maximum 21 characters including spaces per card).

1.

2.

3.

4.

Primary Account currency

A Citi Visa Debit card can only be linked to one Cash Account at a time. From the selected Cash Account(s), please specify the primary Cash Account to be linked to your Citi VISA Debit card.

**Please note:** Once your Account is opened, you will need to contact your Relationship Manager to link your Citi VISA Debit card to any other Cash Accounts you may have nominated.

## 6. Tax reporting (For Jersey branch clients only)

Each Account Holder **to whom the EU Savings Directive (EUSD) applies** must either authorise Citi to report information on interest payments to the appropriate body in Jersey, or provide appropriate evidence that the EUSD does not apply. If you are unsure whether EUSD applies to you, we recommend you seek independent tax advice. Please tick one of the options below.

- I authorise Citi to report the interest I receive on my Account to the Jersey Comptroller of Income Tax on a regular basis, as required under Jersey law.
- I do not authorise Citi to report the interest I receive to the Jersey Comptroller of Income Tax and I have provided the appropriate exemption documentation and evidence to support that I am not subject to either retention tax or information reporting with regards to the EUSD.

**Please note:** If you have ticked the second option and you are either exempt from tax or taxed on a remittance basis in your country of

residence, suitable evidence consists of the following:

- documentation from your home tax authority confirming your tax status; or
- a letter addressed to Citi by your professional adviser, this being a third party accountant or lawyer on letterhead, confirming your tax residency and that you are exempt from EUSD information reporting.

In other cases, retention tax is not required to be levied where the beneficial owner presents to Citi a certificate from their local Tax Authorities.

**Please note: we will not generally collect EU Retention Tax. Accordingly, if you are a personal Account Holder who is a resident of an EU member state and receive interest on your Account, we may refuse to open your Account if you do not tick any of the options above. We may also refuse to open your Account if you tick the second option but subsequently fail to provide suitable evidence to show that EUSD does not apply to you.**

## 7. Data protection notice

### To be read in conjunction with the General Terms and Conditions

Citi International Personal Bank (Citi) is a member of the Citigroup of companies and is the data controller. Citi will use your information to open and administer your Account and for other business purposes, including the following: to make any enquiries that Citi consider necessary to confirm your identity, to manage your Account(s) and to fulfill any promotional offers. Your details may also be used for address verification or money laundering prevention purposes. It is important that you give us accurate information and let us know if it changes.

Citi may also use the information we have about you, including the nature of your transactions, for marketing analysis and to contact you by post, email or telephone in order to bring to your attention various products and services offered by Citi and other Citigroup companies. For further

information please refer to clause 32 of the General Terms and Conditions or speak to your Relationship Manager.

### Member Get Member

As part of the Member Get Member referral scheme, if you have been referred to open either a Citi International Personal Bank Citigold International Account or a Citi International Personal Bank Citigold Private Client Account, Citi International Personal Bank may use your information for the purposes of administering the reward to the person that referred you. Citi International Personal Bank will only disclose the information that (i) you have opened an Account and (ii) the type of Account you have opened. Citi International Personal Bank will not use any further information to administer the reward to the person that referred you.

Please tick if you do not want Citi International Personal Bank to use the information stated above to administer the reward to the person that referred you through the Member Get Member referral scheme.

### Important communication alerts

Please tick if you **do not** want to be advised by post, email, or phone of relevant products, services and offers from Citi International Personal Bank, Citigroup companies and other carefully selected groups of companies that we believe would be of interest to you.

**Please note:** All regulatory and legally binding documents will be provided in English.

Post  Email  Phone  SMS

## 8. Client declaration

### Each Account Holder confirms they:

- have reached the legal age of majority;
- have read this form carefully and that the information supplied herein is accurate;
- are the beneficial owners of the funds deposited with Citi International Personal Bank (Citi) now and in the future and that such funds do not derive and will not derive from criminal activity. All Account Holders undertake to inform Citi immediately of any changes in the beneficial ownership of funds deposited by them;
- agree to be bound by Citi's General Terms and Conditions, Fee Schedule and transferring funds leaflet relating to any Account they may open and any other documentation or Account Application provided to them at Account opening;
- are solely responsible for being aware of and observing the laws, regulations and rules applicable to their use of the services provided by Citi, including any tax, foreign exchange or capital controls, and for reporting or filing requirements that may apply as a result of their country of citizenship, domicile or residence or the location where Citi's services may be conducted;
- have read all the risk warning notices set out in the risk disclosure section of the General Terms and Conditions;
- agree that all Accounts opened with Citibank, N.A., London branch or Citibank International Plc are governed by English law and subject to the exclusive jurisdiction of the English courts (unless they are a resident in the European Economic Area, in which case the English courts shall have non-exclusive jurisdiction). All Accounts opened with Citibank N.A., Jersey branch are governed by Jersey law and subject to the non-exclusive jurisdiction of the courts of Jersey.

- have been provided with a copy of the Conflict of Interest Policy;
- have been provided with a copy of the Best Execution Policy and consent to execute orders outside the regulated market or multilateral trading facility (MTF); and
- consent to their information being used in accordance with the Data protection notice in Section 7.

### **By signing this declaration Account Holders expressly agree that Citi may:**

- make enquiries considered necessary to confirm references provided;
- disclose information about them and their account to any tax or other authority in the USA or other countries if required by law if they are subject to the jurisdiction of that authority;
- rely on services provided by other parts of the Citi organisation in other countries both inside and outside the European Economic Area (EEA) including the US and India in the operation of their relationship with Citi. Under such circumstances Citi may disclose such information relating to Account Holders and their account(s) as is required to carry out business as usual;
- rely on other Citi affiliates or third parties for processing of customer transactions and account maintenance in other countries both inside and outside the EEA including the U.S. and India. Where Citi discloses an Account Holder's personal information to a third party (including any other Citigroup organisation) we will require any such third party to apply the same levels of protection in relation to this information that we are required to apply under Applicable Law.

Citi will treat all Account Holders' personal information as private and confidential (even at Account closure). Citi will only disclose information about Account Holders as permitted under clause 32 of the General Terms and Conditions.



# Client declaration and Account ownership

I acknowledge that I/we agree to comply with the client declaration (all Account Holders please print and sign below)

Full name

Signature

Date

d	d	m	m	m	y	y	y	y
---	---	---	---	---	---	---	---	---

Full name

Signature

Date

d	d	m	m	m	y	y	y	y
---	---	---	---	---	---	---	---	---

Full name

Signature

Date

d	d	m	m	m	y	y	y	y
---	---	---	---	---	---	---	---	---

Full name

Signature

Date

d	d	m	m	m	y	y	y	y
---	---	---	---	---	---	---	---	---

## For joint Accounts:

We give our consent for Citi International Personal Bank to accept instructions on the Account from:

- Any one of the Account Holders (default if not indicated)       Primary Account Holder only       All Account Holders only
- Other (please specify) \_\_\_\_\_

### Send your documents to Citi

Please refer to the account opening checklist on page 2 for the additional documents you will need to include with your application. All completed forms and supporting documentation can be sent to the addresses set out on page 2 of this application form.

## 9. Nominated accounts

Once we receive your instruction to make a payment to a specified Account, we are required to call you to confirm the transaction.

If you know you will make regular payments from your Citi International Personal Bank Account you can nominate an account now and reduce the need for this process in the future.

Please give the recipients details below.

Account Name

IBAN / Account Number

SWIFT BIC / Sort Code

Account Name

IBAN / Account Number

SWIFT BIC / Sort Code

## To be completed by Citi Referral Officer

### For bank use only

Account introduced by

Signature

Office location

Date

Referral code

1	0	1	0	0	0	0	0	0	0
---	---	---	---	---	---	---	---	---	---

Source code

--	--	--	--	--	--

Local country negative/Watchlist checked

Yes  No

Address verification

Yes  No

Signature verification

Yes  No

Stamp

### Received by Citi International Personal Bank

Account number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Officer's name

Date

Signature

Place

## Referral Section to be completed by Citi International Personal Bank Relationship Manager

Account introduced by existing client (Relationship Number)

Is the applicant an existing Citi employee?

Yes  No

Primary RCAO Code

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Secondary RCAO Code

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--



## London branch

(For Citibank N.A., London branch and Citi International Plc clients)

Citi International Personal Bank  
Level 19, Citigroup Centre  
25 Canada Square  
London E14 5LB  
United Kingdom

**Fax:** +44 (0) 20 7500 1726

**Email:** [ipb.london@citi.com](mailto:ipb.london@citi.com)

## Jersey branch

Citibank N.A., Jersey branch  
PO BOX 104  
38 Esplanade  
St Helier  
Jersey JE4 8QB

**Fax:** +44 (0) 1534 608 390

**Email:** [ipb.jersey@citi.com](mailto:ipb.jersey@citi.com)

**Calls from in the UK: 0808 109 8888. Calls from outside the UK: +44 207 500 1445**

Citibank N.A., London Branch is authorised and regulated by the Financial Services Authority with reference number 124704. Citibank International Plc. is authorised and regulated by the Financial Services Authority with reference number 122342. Citibank N.A., London Branch and Citibank International Plc. are licensed by the Office of Fair Trading with licence numbers 0001486 and 0482552 respectively to extend credit under the Consumer Credit Act 2006. Citibank N.A., London Branch is registered as a branch in the UK at Citigroup Centre, Canada Square, Canary Wharf, London E14 5LB. Registered number BR001018. Citibank International Plc. has its registered office at Citigroup Centre, Canada Square, Canary Wharf, London E14 5LB. Citibank N.A., Jersey Branch is regulated by the Jersey Financial Services Commission under the Financial Services (Jersey) Law 1998 for the conduct of investment business. Citi International Personal Bank is registered in Jersey as a business name of Citibank N.A. The address of Citibank N.A., Jersey Branch is P.O. Box 104, 38 Esplanade, St Helier, Jersey JE4 8QB. Citibank N.A. is incorporated with limited liability in the USA. Head office: 399 Park Avenue, New York, NY 10043, USA. © 2012, Citibank N.A. CITI, CITI and Arc Design are registered service marks of Citigroup Inc. Calls may be monitored or recorded for training and service quality purposes.