

# Class Action Service

Citi's Class Action Service takes over the tasks of identifying, tracking and collecting cash distributions to which you may be entitled from US, Canadian and Dutch securities class actions. The service is aimed at shareholders who are eligible to file claims to receive compensation under court-ordered payments. Generally the compensation payments result from court judgements or settlement agreements in connection with claims that a company's improper acts or omissions created losses for shareholders who bought or sold securities during the relevant period.

Studies show that less than 50% of institutional investors file claims for settlements to be paid in securities class action judgments. In other words, investors are leaving literally hundreds of millions of settlement dollars on the table. By neglecting to file for distributions payable to eligible shareholders in connection with the resolved class action, an eligible investor potentially misses out on compensation for losses suffered in regard to transactions or holding positions in the security in question.

Citi's Class Action Service aims to make this important responsibility as seamless as possible for you and helps you to claim all monies owed to you.

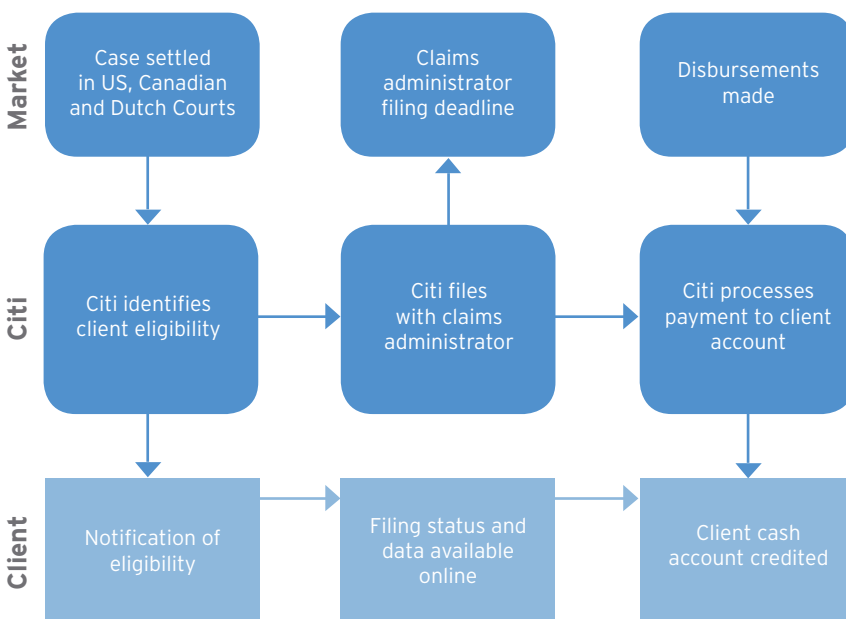
The service includes:

- Flexible service options from the class action notification through to the claim completion, submission and collection of cash distributions
- Determination of eligibility to participate: Citi mines historical transactional account data
- Notification of class action settlement
- Filing of claim forms and all related paperwork with US, Canadian and Dutch claims administrators
- Online availability of compiled claims filing data for all your accounts
- Online tracking and status monitoring of each case from initial filing to payout
- Access to online Court Documents and Claims Forms

## Benefits at a glance

- Outsourced claims management: Citi identifies, tracks and collects the cash distributions to which you may be entitled
- Online service to track the complete securities class action lifecycle
- Critical data for filing is delivered online prior to filing with claims administrators
- Flexible service options
- Dedicated operational support and client service

## Citi's Class Action Service process flow



- Processing of distributed payments to your designated cash accounts
- Online management and summary reports

efficiently by customising and programming reports as well as cutting the data to meet their business needs.

actions, filing data and deadlines, hearing dates, and much more, including the ability to generate customisable reporting.

### Managing all your class actions data online

Users subscribing to CitiDirect® for Securities class action online service benefit from a comprehensive and effective solution with standard views of class action listings for directed payments, filing deadlines, recent filings, payment proceeds confirmation, and much more.

In addition, the specific account data that Citi files with the claims administrator on your behalf is easily viewed on-screen or downloaded in a manageable format while the feature-rich functionality means users can manage information

The advantages of the class actions web-based tool include:

- **Single point of access.** Citi's online service provides multiple users with a convenient point of access to all class action related information.
- **Transparency.** Citi offers complete transparency through the class action lifecycle from notification of directed payments to cash distribution processing providing you with a range of easy-to-use and accessible tools for monitoring and tracking this process.
- **Flexibility.** Standard views of announcements of eligible class action payments, e.g. new class

### Citi's Custody Services

Citi's Class Action Service offering is a value-added service to our world-class Global Custody solution. Delivered through Citi's industry-leading proprietary office network, global technology platforms and consistent operating systems, our clients can choose what solutions best fit their business needs in order to succeed now and in the future.

For further information, please contact your Global Transaction Services representative or visit [www.transactionservices.citi.com](http://www.transactionservices.citi.com)

### Citi's online Class Action Service

Counts											
New Class Actions:										4	
Amended Class Actions:										-	
Filter											
Notification List											
<input type="checkbox"/>	Security	Hearing Date ▲	Settlement Date	Class Period Start	Class Period End	Filing Deadline Date	Reference	Status	Accts	Txns	Lead Plaintiff
<input type="checkbox"/>	<a href="#">Brocade Communications Systems Inc</a>	10-Jun-2008	30-Jul-2008	18-May-2000	12-Aug-2005	15-Apr-2008	<a href="#">34617031101</a>	Partially Paid	10		IFF: CO
<input type="checkbox"/>	<a href="#">SONIC SOLUTIONS.COM</a>	08-Apr-2010	11-Dec-2009	23-Oct-2002	15-Aug-2007	22-Mar-2010	<a href="#">34521160201</a>	Pending Filing	3	4	IFF: CO
<input type="checkbox"/>	<a href="#">Motorola Inc</a>	05-Apr-2009	06-Apr-2009	02-Apr-2009	01-Apr-2009	04-Apr-2009	<a href="#">33819200601</a>	Pending Filing	25	1	IFF: CO
<input type="checkbox"/>	<a href="#">LASALLE BK N.A. CHICAGO ILL CTF DEP</a>	26-Oct-2009	17-Nov-2009	09-Mar-1999	09-Mar-2008	08-Jan-2010	<a href="#">35115594001</a>	Pending Filing	1	4	IFF: CO
<input type="checkbox"/>	<a href="#">ENRON CORP EXCHANGEABLE NT</a>	04-Feb-2010	12-Nov-2009	10-Aug-1999	02-Dec-2001	16-Feb-2010	<a href="#">34322025101</a>	Pending Filing	1	2	IFF: CO
<input type="checkbox"/>	<a href="#">ADVO INC</a>	03-Mar-2010	18-Dec-2009	06-Jul-2006	28-Nov-2006	18-Mar-2010	<a href="#">35717143801</a>	Pending Filing	1	3	IFF: CO
<input type="checkbox"/>	<a href="#">QUEST SOFTWARE INC.COM</a>	15-Mar-2010	30-Dec-2009	09-Nov-2001	03-Jul-2006	14-May-2010	<a href="#">36421045201</a>	Pending Filing	2	10	FF: CO

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