

Securities Hall of Fame Legends

Neeraj Sahai, Richard Ernesti, Ranjit Chatterji and Nicholas Roe Honored by *Global Custodian*

Select members of Citi's Institutional Clients Group (ICG) have been honored by *Global Custodian* as industry "Legends" and inducted into their Securities Services Hall of Fame. The following Citi executives were bestowed this distinction in their respective areas of expertise:

- Neeraj Sahai, Global Head of Securities and Fund Services
- Richard Ernesti, Global Head of Investor Sales & Relationship Management, Securities and Fund Services
- Ranjit Chatterji, Managing Director of Direct Custody, Securities and Fund Services
- Nicholas Roe, Global Head of Prime Finance

The Hall of Fame

The Hall of Fame serves to recognize industry 'Legends' – those men and women in custody, fund administration, prime brokerage, securities lending and market infrastructure – who have influenced the growth of the entire industry and made their own unique and exceptional contribution.

Global Custodian, a global print and online publication founded in 1989, covers the international securities services business. A call for nominees appeared on the publication's website over a span of several months. It was then left to a panel of judges including Editor-in-Chief Dominic Hobson and the publication's founder, Charlie Ruffel, to name the honorees.

The Citi Legends

The recognition that these exemplary professionals have garnered from both their peers and the broader financial services community celebrates the honor they reflect on their profession. In addition, it serves as an example to all of the rewards that can result from hard work, commitment and integrity.



Mr. Sahai has devoted his 24-year career to achieving efficiencies through research, innovation and technology serving the securities and fund services industry. He is an active participant on various committees and boards, including the International Securities Services Association (ISSA) and the Depository Trust and Clearing Corporation. Mr. Sahai is a frequent speaker and travels around the globe as an expert participant at industry conferences and roundtable discussions. He is the author on the topics of securities and fund services outsourcing and the impact of new generation investment products. In his global leadership role at Citi, Mr. Sahai has provided support for multiple independent research projects to further discussions on issues facing the asset management community.



Mr. Ernesti's 18-year career in the financial services industry spans multiple regions across the globe where he has held leadership positions in operations, business management and sales and relationship management. In his current role at Citi, Richard resides in London after working in New York City, Tokyo, Singapore, Frankfurt and Hong Kong for such firms as Deutsche Bank, State Street Trust and Banking and Chase Manhattan Bank. He is actively involved within the Hedge Fund Community and is the former and founding Co-Chair of the National Investment Company Service Association (NICSA) Alternative Investment Committee. Mr. Ernesti is frequently quoted in industry publications including: *Global Custodian*, *Financial Times*, *Finance Asia*, *Securities Investment News* and *Institutional Investor*. In addition, he is a requested speaker at industry conferences.



Mr. Chatterji is a true legend in the subcustodian market with over 21 years of dedicated experience. As head of Citi's Global Network Management team for the Securities and Fund Services business, Ranjit is responsible for the industry's largest proprietary network spanning 57 markets as well as external subcustodian banks worldwide. Ranjit joined the Securities Services Division at Citi in 1987. Prior assignments in this business have included Global Relationship Management for Financial Institutions, Global Product Management, Regional Market Management for Western Europe, and earlier for Central and Eastern Europe and the Mid-East. In the early 1990s Mr. Chatterji was responsible for setting up Citi's custody business in Latin America. In addition, he completed several Operations Management assignments in Mexico and Saudi Arabia.



An 18-year industry veteran, **Mr. Roe** has made significant contributions to the business of Prime Finance. In the early '90s, he was highly involved in the development of the Synthetic Derivative product and pivotal in the creation of the International Prime Brokerage Platform. As an active member of the Alternative Investment Management Association (AIMA), Nick is engaged in hedge fund industry initiatives and policy development. He is a frequent contributor and guest speaker on various global thought-leadership panels. Currently Nick is responsible for the Global Prime Finance division at Citi. He joined Citi in October 2005 as European Head of Prime Finance from Deutsche Bank, where he had been the Global Head of Prime Finance. Prior to Deutsche Bank, Mr. Roe had been at Morgan Stanley for 12 years.

The strength of Citi

Citi, the leading global financial services company, has approximately 200 million customer accounts and does business in more than 140 countries. Through its two operating units, Citicorp and Citi Holdings, Citi provides consumers, corporations, governments and institutions with a broad range of financial products and services, including consumer banking and credit, corporate and investment banking, securities brokerage, and wealth management. Additional information may be found at www.citigroup.com or www.citi.com.

Global Transaction Services
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